

AGREEMENT BETWEEN

THE STATE OF RHODE ISLAND

and

THE ASSOCIATION OF

RHODE ISLAND STATE SUPERVISORS

EE-3161

July 1, 2021 - June 30, 2024

TABLE OF CONTENTS

<u>TITLE</u>	<u>PAGE</u>
MEMORANDUM OF AGREEMENT.....	1
PURPOSE.....	1
ARTICLE 1.....	1
RECOGNITION.....	1
ARTICLE 2.....	2
NON-DISCRIMINATION CLAUSE	2
ARTICLE 3.....	2
UNION SECURITY AND DUES DEDUCTION	2
ARTICLE 4.....	2
MANAGEMENT RIGHTS.....	2
ARTICLE 5.....	3
HOURS OF WORK	3
ARTICLE 6.....	5
SHIFT DIFFERENTIAL.....	5
ARTICLE 7.....	6
OVERTIME	6
ARTICLE 8.....	8
SALARY SCHEDULE	8
ARTICLE 9.....	10
HOLIDAYS	10
ARTICLE 10.....	13
VACATIONS.....	13
ARTICLE 11.....	14
SICK LEAVE.....	14
ARTICLE 12.....	20
PERSONAL BUSINESS LEAVE	20
ARTICLE 13.....	21
LEAVE WITHOUT PAY	21
ARTICLE 14.....	22

HEALTH AND MEDICAL	22
ARTICLE 15.....	22
INCLEMENT WEATHER / EMERGENCIES	22
ARTICLE 16.....	22
SENIORITY, HIRING, AND LAYOFFS.....	22
ARTICLE 17.....	28
RECLASSIFICATION AND/OR UPGRADING.....	28
ARTICLE 18.....	30
RETIREMENT.....	30
ARTICLE 19.....	30
GROUP LIFE INSURANCE	30
ARTICLE 20.....	31
EDUCATION REIMBURSEMENT.....	31
ARTICLE 21.....	31
DISCIPLINE AND DISCHARGE.....	31
ARTICLE 22.....	32
GRIEVANCE PROCEDURE	32
ARTICLE 23.....	35
ARBITRATION	35
ARTICLE 24.....	35
LEGAL DEFENSE	35
ARTICLE 25.....	36
JURY LEAVE.....	36
ARTICLE 26.....	36
MILITARY LEAVE	36
ARTICLE 27.....	37
MILITARY TRAINING LEAVE	37
ARTICLE 28.....	38
UNION COMMUNICATION AND RIGHTS	38
ARTICLE 29.....	38
HEALTH AND SAFETY	38

ARTICLE 30.....	39
LABOR MANAGEMENT COMMITTEE.....	39
ARTICLE 31.....	39
NO STRIKES OR LOCKOUTS	39
ARTICLE 32.....	39
SUB-CONTRACTING PROCEDURE.....	39
ARTICLE 33.....	40
OVERPAYMENTS.....	40
ARTICLE 34.....	40
MILEAGE PROVISIONS	40
ARTICLE 35.....	40
SEVERABILITY	40
ARTICLE 36.....	41
ALTERATION OF AGREEMENT	41
ARTICLE 37.....	41
STUDY GROUP	41
ARTICLE 38.....	41
RETIREE HEALTH INSURANCE.....	41
ARTICLE 39.....	42
PARITY	42
ARTICLE 40.....	42
TERMINATION AND REOPENING OF AGREEMENT	42
APPENDIX A.....	44
HEALTH INSURANCE	44
APPENDIX B	57
POSITIONS	57
MEMORANDUM OF UNDERSTANDING.....	59
PARKING	59
MEMORANDUM OF AGREEMENT.....	60
IMPLEMENTATION OF STUDY CONTEMPLATED BY SEGAL REPORT	60
MEMORANDUM OF AGREEMENT.....	62
LAYOFFS / SHUTDOWNS or PAY REDUCTIONS.....	62

MEMORANDUM OF UNDERSTANDING 63
PERFORMANCE DEVELOPMENT63

MEMORANDUM OF AGREEMENT

In this Agreement entered into this ____ day of ____, 2021 by and between the State of Rhode Island, hereinafter referred to as the State and the Association of Department of Administration Supervisors, referred to hereinafter as the Union, the parties hereby agree as follows:

PURPOSE

It is the purpose of this Agreement to carry out the policy of the State of Rhode Island by encouraging a more harmonious and cooperative relationship between the State and its employees by providing for procedures which will facilitate free and frequent communication between the State and its employees. By means of this Agreement, therefore, the signatories hereto bind themselves to maintain and improve the present high standards of service to the people of the State of Rhode Island and agree further that high morale and good personnel relations, through a stabilized Union relationship, are essential to carry out this end. No negotiated Settlement Agreements or Memorandums of Agreements entered into after the ratification of this Agreement will have precedential effect, amend this agreement, or provide for wage rate adjustments unless they are signed by the Director of Administration or their designee.

ARTICLE 1 RECOGNITION

1.1 The State hereby recognizes the Union as the sole and exclusive bargaining agent for all employees within the bargaining unit, said bargaining unit to consist of those classes of positions declared appropriate by the State Labor Relations Board as a result of a petition submitted by the Union in Case No. EE-3161.

ARTICLE 2

NON-DISCRIMINATION CLAUSE

2.1 The State and the Union agree not to discriminate in any way against employees covered by this Agreement on account of race, religion, creed, color, sex, gender identity or expression, age, physical handicap, country of ancestral origin, marital status, sexual orientation, or political affiliation.

2.2 The Union shall not discriminate against any employee in the administration of this Agreement because of non-membership in the Union.

2.3 The State agrees that no employee shall be discriminated against, intimidated or coerced in the exercise of their right to bargain collectively through the Union, or on account of their membership in, or activities on behalf of the Union.

2.4 The State and the Union agree to establish a committee consisting of representatives from both sides to continue to explore affirmative employment action and, if possible, to enter into a Letter of Understanding.

2.5 Nothing in this Agreement shall be construed so as to prevent compliance with any obligation imposed by the Americans With Disabilities Act of 1990.

ARTICLE 3

UNION SECURITY AND DUES DEDUCTION

3.1 The State Controller shall deduct Union dues if and when established, from the wages of all members within the respective bargaining unit.

3.2 In the bargaining unit in which the Union has been certified as the exclusive bargaining agent, only the dues for the sole and exclusive bargaining agent shall be deducted. Membership in the Union may be determined by each individual employee.

3.3 The appointing authority shall give written notice to the President of the Union of all new employees within the bargaining unit who become eligible for membership in the Union. Said notice shall be given monthly and shall include the employee's name, address, date of hire, classification, work assignment, and location.

ARTICLE 4

MANAGEMENT RIGHTS

4.1 The Union recognizes that except as specifically limited, abridged, or relinquished by the terms and provisions of this Agreement, all rights to manage, direct or supervise the operations of the State and employees are vested solely in the State.

For example, but not limited thereto, the employer shall have the exclusive rights subject to the provisions of this Agreement (including the grievance procedure) and consistent with the applicable laws and regulations:

- A) To direct employees in the performance of official duties;
- B) To hire, promote, transfer, assign, and retain employees in positions within the bargaining unit and to suspend, demote, discharge, or take other disciplinary action against such employees;
- C) To maintain the efficiency of the operations entrusted to it;
- D) To determine the methods, means, and personnel by which such operations are to be conducted;
- E) To take whatever actions may be necessary to carry out its mission in emergency situations; i.e., an unforeseen circumstance or combination of circumstances which calls for immediate action in a situation which is not expected to be of a recurring nature.

ARTICLE 5 HOURS OF WORK

5.1 It is hereby agreed that there shall be four basic workweeks as follows:

- 1) Standard 35.0 Hours – A 35 hour workweek (5 consecutive days of 7 consecutive hours), exclusive of unpaid lunch periods.
- 2) Standard 40.0 Hours – A 40 hour workweek (5 consecutive days of 8 consecutive hours each), exclusive of unpaid lunch periods.
- 3) Non-Standard – 5 consecutive days of at least 7 consecutive hours each, exclusive of unpaid lunch periods.
- 4) Non-Standard, Non-Exempt – 5 consecutive days of at least 7 consecutive hours each, exclusive of unpaid lunch periods, and who receive overtime pay at time and one half for all hours worked in excess of forty (40.0) hours.

Basic workweeks which include Saturday or Sunday or both Saturday and Sunday will be limited to new or vacant positions. If the number of bidders is insufficient to fill such positions, involuntary change in scheduled work hours will be subject to the provisions of Section 5.2 of this Article.

5.2 It is recognized that there exists other work schedules peculiar to certain classes of positions, which are recognized by the State and the Union, and such exceptions shall remain in full force and effect. Nothing herein alters or addresses the parties' rights or obligations concerning the peculiar work hours or work weeks. In the event it becomes necessary to change the scheduled work hours in any area, the State shall notify the Union's President, and the parties hereto shall make every effort to agree mutually on the hours for such schedules and fix the hours subject to the grievance procedure and

arbitration provisions of this Agreement. In the event that a new schedule for hours of work is agreed upon, that schedule shall be posted and bid upon in accordance with the seniority provisions of this Agreement. If the hours are not agreed to, then the issue shall be submitted to expedited arbitration.

5.3 Employees shall be granted a fifteen minute break during the first half and the second half of their workday. All employees shall be granted a meal period of not less than one-half hour duration nor more than one hour duration during each work day to be determined by the work day schedule that applies.

5.4 Shift hours, upon being scheduled will be posted. Wherever time clocks are used in any bargaining unit, they must be used by all personnel in a classification within a bargaining unit or no one.

5.5 No employee who has performed work before or after scheduled shift hours will have the right or will be required by the State, by reason thereof, to take time off to equalize their working hours.

5.6 It is agreed that when an employee is called to work a shift other than their own, they will be permitted to complete the shift period, unless they are informed prior to the beginning of the shift that they are only needed for a stated number of hours.

5.7 Employees who are called in to report for emergency work after having left their place of employment and outside their regular scheduled work hours shall receive not less than four (4) hours pay at their overtime rate.

TELEWORKING

5.8 Teleworking arrangements shall be administered consistent with the State's Teleworking Policy and the terms and provisions contained herein.

5.9 The guidelines of the Teleworking Policy and determination of whether an employment position is appropriate for teleworking shall be based on the State agency's business and operational needs and requirements.

5.10 The teleworking arrangement must not create any impediment to the accomplishment of the employee's work or the agency's business and operational needs and requirements.

5.11 Denials of teleworking requests and termination of teleworking arrangements must include the reason in writing to the requesting employee. Denials and terminations of teleworking arrangements must be based on operational needs or performance. Denials of teleworking and termination of teleworking arrangements must not be an alternative means of imposing discipline for conduct unrelated to teleworking to avoid the protections

of that Article of the contract.

5.12 Where there are multiple employees in a department, agency or office who are teleworking, telework schedules shall be determined at the discretion of the appointing authority, although employees' primary seniority shall be consideration in determining said telework schedules, in addition to the experience, duties and responsibilities of the teleworking employees. To the extent such scheduling does not distribute employees among offices or over various shifts in such a way that there is a balance of experienced employees and less experienced employees, then, in consultation with the Union, the primary seniority, experience, duties and responsibilities of the teleworking employees shall be considered by the appointing authority in establishing telework schedules.

5.13 An employee must be given a detailed reason for the termination of an approved teleworking arrangement in writing 10 days in advance of the termination unless circumstances require a shorter notice.

5.14 Issues regarding approval or denial for participation in teleworking, as well as termination of a teleworking arrangement, may be applied in accordance with the grievance and arbitration procedure of this agreement.

ARTICLE 6 SHIFT DIFFERENTIAL

6.1 The "evening tour of duty" shall mean those hours worked between the hours of 3:00 p.m. and 12 midnight. The "night tour of duty" shall mean those hours worked between the hours of 11:00 p.m. and 8:00 a.m.

6.2 All employees who are permanently assigned to work sixteen (16) or more hours of a forty (40) hour workweek or fourteen (14) or more hours of a thirty-five (35) hour workweek during the "evening tour of duty" or during the "night tour of duty" shall be compensated an additional sixty (60) cents an hour over the rate prescribed for the classification in which their work is performed for all hours of the workweek.

6.3 Any full-time employee who is assigned to work during the "evening tour of duty" or the "night tour of duty" for less than sixteen (16) hours or fourteen (14) hours mentioned in Section 9.2 of this Article shall be compensated for the hours actually worked at the rate of shift differential provided herein.

Any employee assigned to the first shift and required to work on the second shift, in addition to working their daily assigned work shift, shall be compensated for the hours worked on the second shift at the rate of time and one-half their normal hourly rate without regard to any added shift differential pay.

Any employee assigned to the day schedule, who is required to change that schedule to work an evening tour of duty in place of that employee's regularly scheduled hours, shall receive shift differential pay for such evening or night tour of duty hours actually worked.

Employees whose scheduled hours are between 7:00 a.m. and 4:30 p.m. shall not receive shift differential pay for any of those scheduled hours of work.

Any employee who normally works an assigned "evening tour of duty", who is requested to work the day shift following the completion of their "evening tour of duty" will be compensated for those additional hours of work assigned by receiving time and one-half for those additional hours worked at the employee's base rate of pay, if overtime payment requirements have been met.

6.4 Employees hired into state service on or after June 29, 2014 shall not receive shift differentials for hours worked between 7 a.m. and 3 p.m. Shift differentials will only be paid in accordance with agreed upon contract language.

ARTICLE 7 OVERTIME

7.1 It is agreed that when it becomes necessary for the efficient conduct of the business of the State, an appointing authority may direct or authorize overtime work.

7.2 Overtime work shall be defined as the required performance of work in excess of the established workweek.

7.3 Compensation for overtime work shall not be paid to employees in the non-standard category.

7.4 Time and one-half shall be paid in each or any of the following instances and each instance shall not be dependent on any other instance, but there shall be no pyramiding or duplication of overtime.

All work performed in excess of forty (40) hours and in those classes of positions in which it is applicable, all work performed in excess of thirty-five (35) hours in any week with the following exception. When funds become unavailable within the department to pay cash for work performed after thirty-five (35) hours, compensatory time shall be credited at the rate of one and one-half times.

7.5 Overtime work is to be made a matter of record and distributed fairly and equitably among employees capable of performing the work of their respective division and class of position. A record of overtime work will be furnished the Union at its request.

7.6 Hours credited for holidays shall be considered as time worked for the purpose of computing overtime.

7.7 An employee offered overtime will be excused at their request provided authorized personnel are available and willing to meet the need and shall not be offered it again until their name comes up again in the seniority rotation.

ARTICLE 8 SALARY SCHEDULE

8.1 Wages

- A. There shall be a wage increase of 2.5% retroactive to the payroll date immediately prior to July 1, 2021 (6/20/2021)
- B. There shall be awage increase of 2.5% The payroll date immediately prior to July 1, 2022 (6/19/2022)
- C. There shall be awage increase of 2.5% the payroll date immediately prior to July 1, 2023 (6/18/2023)

8.2 An employee shall receive a one-step increase after six (6) months of service, which shall be one-hundred thirty days worked, and after each year of service thereafter in their classification until they have reached the maximum of their grade.

8.3 Each employee shall be granted longevity increases according to the following formula:

<u>Years of Service</u>	<u>Percentage Increase on base rate</u>
5	5 %
11	10 %
15	15 %
20	17.5 %
25	20 %

Effective July 1, 2012, notwithstanding any provision of the collective bargaining agreement to the contrary, an employee's eligibility for longevity stipends, including the amount thereof, shall be governed by the applicable provisions of Article 8 of the FY 2012 Budget (P.L. 2011, ch. 151, art. 8), as amended.

8.4 Educational Incentive Pay

- A) Each employee, who has successfully completed a four-course curriculum, approved in advance by the Personnel Administrator, shall be entitled to a one-step pay increment next above their current base step; or, if the employee is at the maximum of the grade, an increment equal in amount to the difference

between the last step in the pay range and that step immediately prior to it.

- B) Persons employed prior to July 1, 2001 may retain said increment but shall be eligible for only one such increment under the Incentive In-Service Training Program during the course of their employment with the State
- C) Persons first employed on or after July 1, 2001 shall be eligible to earn an unlimited number of additional increments during their careers, subject to the following:
 - 1) Each earned increment shall be retained for not more than four (4) years;
 - 2) Each employee shall be eligible to earn additional increments under the Incentive In-Service Training Program by commencing additional training three years or more after final payment of the previously earned increment.
- D) A person employed prior to July 1, 2001 may become eligible for multiple increment payments by submitting to the DOA's Office of Training and Development a written form giving up the career increment retention under the paragraph B).

8.5 When an employee is required in writing or orally by the appointing authority or their designee to work in a higher class of position for a period of more than three (3) consecutive working days, such employee shall receive the lowest salary rate of that higher class which will provide a pay increase of at least one (1) step over their present rate retroactive to the first day of such assignment. Written authorization or direction to an employee to work in a higher class of position shall be given to the employee within twenty-four (24) hours of said direction, and an employee may refuse such assignment if they do not receive such written authorization or direction.

The Local Union President or appropriate Union official shall be notified either in writing or electronically, of every bargaining unit members assigned to work in a higher class of position pursuant to this article.

A three-day rule assignment for a bargaining unit position that is created by illness leave, injury leave, workers compensation leave, military leave or other leave where the employee has not vacated the position ("Encumbered Positions") will not exceed twelve (12) months. If at the end of the twelve (12) month period, the vacated position remains an Encumbered Position, the State may fill the position with additional three-day rule assignments of other employees. If at the end of the twelve (12) month period the position is not Encumbered, it will be posted as a vacant position in accordance with Article 16.4 of the collective bargaining agreement, provided the position is funded and approved to be filled. The twelve (12) month period for any of the employees serving in the assignment may be extended by mutual agreement of the parties.

A three-day rule assignment for a bargaining unit position that is created by termination, resignation or retirement will not exceed nine (9) months. Thereafter it will be posted as a vacant position in accordance with Article 16.4 of the collective bargaining agreement provided the position is funded and approved to be filled. The nine (9) month period for any of the employees serving in the assignment may be extended by mutual agreement of the parties.

If at the end of the 3-day rule assignment period the position the employee is assigned to is not approved and funded, the assigned employee will be returned to their original pre-3-day rule assignment. Such positions will not be filled with another three-day rule assignment.

This provision will become effective upon ratification and the time frame set forth herein will begin to run at that point.

8.6 Employees who work at least sixteen hours a week shall be entitled to fringe benefits on a pro-rata basis in accordance with the present practice.

8.7 The classes of position in the unit are assigned to the pay grades presented in Appendix B.

The following positions were accreted to ARISS by the Labor Relations Board but these titles are no longer included on Job Title Classification list: Accounts Payable Supervisor (A & C), Administrative Assistant (A & C), Building Construction Inspector (Public Buildings), Central Services Officer, Chief Buyer (DOA/OP), Coordinator of Systems Development, Environmental Planner I, Environmental Engineer I, Executive Secretary Contractor Registration Board, Food Service Manager, Supervising Auditor, Supervising Buyer, Supervising Field Auditor

8.8 The attached schedule sets forth the pay plan including step increases for each grade in the pay plan.

8.9 Retention Bonus

The State will provide a retention bonus to remain employed with the State, all employees, currently employed by the State upon the ratification of this agreement shall receive a payment of fifteen hundred dollars (\$1,500.00) presented in a separate check. Employees actively employed by the State on July 1, 2022, receive an additional fifteen hundred dollars (\$1,500.00) presented in a separate check.

ARTICLE 9 HOLIDAYS

9.1 The following shall constitute the official holidays:

- New Year's Day
- Martin Luther King Jr. Day
- Memorial Day
- Independence Day
- Victory Day
- Labor Day
- Columbus Day
- Veteran's Day
- Thanksgiving Day
- Christmas Day

Any day on which a general election of State officials is held as Election Day.

Any day which shall be appointed by the General Assembly or the Governor to be a holiday.

If during the term of this contract, the General Assembly should abolish one of the holidays listed above, the employees covered by this contract shall be given an additional personal leave day. Said personal leave day is to be used in accordance with the provisions of the contract for the use of personal leave days.

In the event that the legislature establishes a new legal holiday after having abolished any one of the listed holidays above, the additional personal leave day granted when such holiday was abolished may be withdrawn. In the event that the legislature establishes a new holiday(s) without abolishing holidays listed above, such establishment will not reduce the personal leave provisions listed in this contract.

9.2 If a holiday falls on a regularly scheduled workday, the employee will be entitled to the day off and shall be credited with the number of hours in the official work schedule for that day.

9.3 Whenever an employee in a standard workweek (35 hours or 40 hours weekly) or non-standard employee is required to work on a holiday which falls on their regularly scheduled work day, they shall be credited with the number of hours in their official work schedule for that day, plus the number of hours actually worked. The hours actually worked shall be compensated at the rate of one and one-half times. This provision as it applies to non-standard employees shall be effective upon ratification of the contract.

9.4 If a holiday falls on one of an employee's regularly scheduled days off, they shall be credited with the number of hours for one day in the official work schedule. The hours so credited for this day shall not be used in the computation of overtime.

9.5 Whenever an employee in a standard workweek (35 hours or 40 hours weekly) is required to work on a holiday which falls on a regularly scheduled days off, they shall be

credited with the number of hours actually worked. The hours actually worked shall be compensated at the rate of one and one-half times. When a non-standard employee is required to work on a holiday which falls on a regularly scheduled day off, they shall be compensated for an additional seven (7) hours at their base hourly pay rate.

9.6 If a holiday falls on a regularly scheduled workday within an employee's vacation period, the employee shall not be charged annual leave for their absence on that date.

ARTICLE 10 VACATIONS

10.1 It is agreed that all employees covered by this Agreement shall receive vacation leave with pay according to the schedules below.

Up Front Days

On January 1st of each year, employees shall be credited with certain vacation days that shall be designated as "Up Front Days" according to the following schedule:

Employees with 6 months to 10 years	2 days
Employees with 10 years to 20 years	4 days
Employees with 20 years and over	9 days

Vacation Accrual

In addition to Up Front Days, employees shall accrue vacation leave based on the following schedule:

<u>Years of Service</u>	<u>Accrual Rate</u>	<u>Total Days Annually Accrued</u>
0 to 5 years	.0308	8
5 to 10 years	.0500	13
10 to 15 years	.0538	14
15 to 20 years	.0615	16
20 to 25 years	.0654	17
over 25 years	.0731	19

Summary Vacation Schedule

<u>Years of Service</u>	<u>Up Front Days</u>	<u>Accrual Days</u>	<u>Total</u>
At least 6 months but not more than 5 years	2	8	10
At least 5 years but not more than 10 years.	2	13	15
At least 10 years but not more than 15 years	4	14	18
At least 15 years but not more than 20 years	4	16	20
At least 20 years but not more than 25 years	9	17	26

10.2 No employee shall receive any vacation until such employee has completed thirteen (13) bi-weekly pay periods, but vacation shall accrue during such time.

10.3 When an employee reaches the required number of years of service which would increase their vacation entitlement, the State agrees to add without regard to accrual the additional up-front days on account of the increase in vacation entitlement. For example: an employee who reaches 20 years of service on September 1st would have five (5) additional vacation days added to their total of earned vacation credits. The balance of any increase shall be sought to accrual.

10.4 When the service of an employee shall be terminated by resignation, death, dismissal or otherwise, if such employee shall not have used actual vacation time equal to their vacation credits, such employee or their estate shall on such termination be entitled to receive full pay for each hour of vacation to their credit as of the date of termination.

10.5 Appointing authorities shall assign vacation leave with justice and equity and once assigned, such leave shall be posted by the State.

10.6 Each employee shall be allowed to take at least two (2) consecutive weeks of vacation at some time during the calendar year.

10.7 Should a question arise between employees as to when their vacation will be taken, the senior employee shall have preference.

10.8 Employees may be allowed to carry over from one year to another not more than the vacation time accrued and credited in two years. Provided, however, an employee shall only be allowed to be paid for one year of accrual under Article 10.4.

ARTICLE 11

SICK LEAVE

11.1 Sick leave with pay shall be granted to employees covered by this Agreement. Sick leave with pay is hereby defined to mean a necessary absence from duty due to illness, injury or exposure to contagious disease and may include absence due to illness or death in the immediate family of the employee or necessary attendance upon a member of the immediate family who is ill, subject to the provisions of Section 5.0623 of the Personnel Rules in effect at this time. The definition of "Immediate Family" for the purpose of sick leave and bereavement leave, shall include domestic partners of the same or opposite sex who have lived in the same household for at least six (6) months and have made a commitment to continue to live as a family.

11.2 Employees shall accrue sick leave as provided by the State Personnel Rules in effect as of the date of this contract.

11.3 When the total accumulation shall amount to 875 hours (125 days) for an employee assigned to a thirty-five hour schedule or a non-standard schedule and 1000 hours (125 days) for an employee assigned to a forty hour work schedule, no further credit shall accrue until the total shall have been reduced to less than the maximum.

11.4 Employees with appropriate medical documentation may discharge up to twenty (20) days of accrued sick leave per year for attendance upon a member of the immediate family who is ill.

11.5 When the service of an employee shall be terminated by retirement (mandatory, voluntary, or involuntary) or death, such employee or their estate shall be entitled to receive full pay for each hour of accrued sick leave to their credit as of the date of termination according to the following formula:

A 35-hour a week employee or a non-standard employee shall be entitled to receive full pay for 50% of all accrued sick leave over 390 hours up to and including 630 hours and 75% pay for all accrued sick leave over 630 hours up to and including 875 hours.

A 40-hour a week employee shall be entitled to receive a full pay for 50% of all accrued sick leave over 468 hours up to and including 720 hours and 75% pay for all sick leave over 720 hours up to and including 1,000 hours.

11.6 (a) For each discharge of leave of three (3) to less than five (5) consecutive days, the employee's appointing authority shall require, and the worker shall provide, a properly completed Employee Certification of Necessary Absence Form (Affidavit) as provided in the Appendix of this Agreement.

(b) For each discharge of leave of five (5) or more consecutive days, the employee's appointing authority shall require, and the employee shall provide properly completed employee and physician portions of the appropriate United States Department of Labor form (currently WH-380-E, WH-380-F, WH-384 or WH-385) as provided by the Division of Human Resources. For purposes of verification, the form may be completed by the employee's health care provider, whether that provider is a physician or other licensed health care provider so long as they are authorized by law to diagnose an ailment or injury and prescribe medication to treat that condition.

- (c) In order for the appointing authority to designate an absence as FMLA qualifying leave, the employee must provide the appropriate federal form referenced in (b).
- (d) Nothing herein exempts the employee's obligation to comply with the employing agency's procedure for the need for such leave and obtaining prior authorization as required.
- (e) Notwithstanding the above, the appointing authority, upon reasonable suspicion of leave abuse, may request a properly completed form (as referenced in (b)) for any and all paid or unpaid absences for medical reasons regardless of the duration and the employee will be required to provide such documentation.
- (f) To protect employee privacy rights, all documents containing confidential medical information are maintained as confidential medical records and are kept in separate, secure medical files in the Human Resources Service Center office. Access to these records is restricted as provided by law.
- (g) Nothing herein shall adversely affect an employee's right to leave under either State or Federal leave laws. All absences due to qualifying medical reasons shall count towards an eligible employee's leave under such laws.
- (h) Nothing herein shall be construed to conflict with G.L. 1956 § 36-4-63.

11.7 In the event of death in the employee's family, the employee shall be entitled to absence with full pay, "per death" not chargeable to the employee's sick leave accumulation for:

- (a) four (4) days in the case of death of a spouse (including domestic partner), child (including a foster child or step-child who resides with the employee), mother, father, brother or sister, step-parent, step-brother or step sister.
- (b) three (3) days in the case of death of a mother-in-law, father-in-law, grandfather, grandmother, grandchild or any other relative living in the employee's household.
- (c) one (1) day in the case of death of an aunt, uncle, sister-in-law, and brother-in-law, niece, nephew or cousin.

If more than the above days of bereavement leave is needed, such additional time must be charged to annual or personal leave. Sick leave requests must be in accordance with the provisions of Article 11, Sick Leave..

11.8 A pregnant employee, so certified by the employee's physician or licensed health care provider authorized by law to diagnose an ailment or injury and prescribe medication to treat that condition, shall be entitled to use accrued sick leave for any time said employee is unable to work for medical reasons and will be required to submit WH-380 forms for the period of time they are on FMLA.

A) At the expiration of maternity leave, the employee shall be returned to the position from which she is on leave at the same step of the then current range for her class of position.

B) It is agreed that pregnant employees who have exhausted their sick leave accruals, or who decline to utilize their sick leave, shall be granted a maternity leave without pay. A pregnant employee shall submit written notification to the appointing authority of the anticipated duration of the maternity leave at least two (2) weeks in advance, if possible, of the commencement of the leave period. Leave shall be granted for a period of not more than twelve (12) months and an early return by the employee may be made upon completion of thirty (30) days notice to the appointing authority. Leaves authorized for periods of less than twelve (12) months may be extended by mutual consent but may not exceed twelve (12) months.

C) A pregnant employee shall not be required to commence her maternity leave prior to childbirth unless she can no longer satisfactorily perform her job duties and her continuance at work does not deprive her fellow employees of their contractual rights.

11.9 Whenever an employee has been granted sick leave from their duties and is receiving compensation as provided in the Worker's Compensation Laws, the total of the two shall not exceed the regular salary for a given pay period. Annual leave credits may be applied in the same manner. When such absence shall not be covered by sick leave or annual leave, it shall be deemed to be leave without pay.

11.10 The parties recognize the desirability of light duty assignments as a means of returning injured workers to productive employment.

The director of the Department of Administration and/or designee in agreement with the Union shall define and assign transitional employment for employees who have job related injuries or illness which prevent or limit performance of full job duties and responsibilities within the following parameters:

Based upon clearly defined medical verification, the parties shall modify the tasks of the employee including job task, hours, shift and/or work location, to provide transitional employment in order to accommodate the employee's injury. If no transitional employment is available in the employee's classification, the employee may be offered work outside their classification on a limited basis with

approval of the Union.

The transitional employment for such employees shall be reviewed on a regular basis. The review interval shall be agreed upon by the Union, the appointing authority, and the employee. The transitional employment period shall not exceed six (6) months unless mutually agreed upon by the Union, the appointing authority, and the employee with medical documentation.

If the employee cannot return to their classification and/or assignment based upon medical verification after attaining maximum medical improvement, the State shall attempt to assist them with other employment, education, or training in State service within the bargaining unit in accordance with the contract and the Worker's Compensation Laws.

If the injury is not job related and the employee requests to return to work, the appointing authority upon receipt of medical verification that the injured employee can perform limited tasks in their classification, the appointing authority, subject to the needs of the department may modify the tasks of the employees' normal assignment to enable the employee to return to work.

Any such transitional employee will not displace any bargaining unit member while participating in the program.

11.11 Sick Leave Bank

A) The State and the Union agree to establish a Sick Leave Bank Committee who shall be responsible to administer a Sick Leave Bank in each bargaining unit covered by the Master Agreement. A separate sick leave bank may be established in a particular department by mutual Agreement.

B) Each Sick Leave Bank Committee shall be composed of six members, three (3) of whom shall be appointed by the President of the Union and three (3) by the State. The Sick Leave Bank Committee shall establish the rules and procedures to be used by employees who have contributed to the Sick Leave Bank. Each Sick Leave Bank Committee may decide, by majority vote, to permit sick leave bank donations to be made on an as needed basis. Decisions of the Sick Leave Bank Committee to grant sick leave bank days shall be by majority vote, shall be final, and shall not be subject to the grievance and arbitration provisions of the contract.

C) The following provisions must be included in the rules and procedures adopted by the Sick Leave Bank Committee:

1. The Committee must review the sick leave utilization of any member of the Sick Leave Bank who applies for sick leave from the bank. Sick leave will

not be granted to an applicant with evidence of prior sick leave abuse in his or her personnel file or attendance record. Prior utilization of sick leave does not by itself indicate sick leave abuse.

2. The Committee must require adequate evidence of catastrophic illness or injury, which is not job-related, of an employee only (not any family member).
3. The maximum amount of sick leave that the Committee may grant shall be 480 hours per employee assigned to a forty (40) hour work week and 420 hours per employee assigned to a thirty-five (35) hour work week. Hours granted shall not exceed the total hours available in the Bank.
4. Employees must make contributions to the Sick Leave Bank on January 2 of each calendar year. Any employee who does not make a contribution to the Bank shall not be eligible to apply to the Bank for any sick leave.
5. Members of the bargaining unit who wish to be eligible to apply to the Bank for sick leave shall contribute eight (8) hours of sick leave if assigned to a forty (40) hour work week and seven hours of sick leave if assigned to a thirty-five (35) hour work week.
6. An employee who applies to the Sick Leave Bank must have used all available accrued and accumulated leave including vacation and personal leave.
7. All sick leave hours accrued while discharging sick leave hours granted by the sick leave bank committee must be discharged prior to discharging available sick leave bank hours.
8. Part-time employees may participate on a pro-rated basis.

D) Any unused sick leave remaining in the Sick Leave Bank on December 31 shall not be carried forward into the next year.

E) If during the calendar year the Sick Leave Bank falls below three hundred fifty (350) hours, the Sick Leave Bank Committee may solicit additional contributions of one additional day (8 hours or 7 hours) from those employees who made a contribution on January 2 of that calendar year.

11.12 Transitional Employment

Consistent with the provisions of the Collective Bargaining Agreement, the parties recognize the desirability of temporary light duty assignments as a means of returning

injured workers to productive employment and to facilitate the employee's return to full duty in their assignment.

The State in agreement with the local Union may identify transitional employment for injured employees, including those with work or non-work related injuries, and those with occupational disease as set forth in R.I. Gen. Laws § 28-34-1 *et seq.*, prevent or limit performance of full job duties and responsibilities within the following parameters:

Based upon definitive medical verification of the employee's restrictions, the State may modify the employee's assignment, including job functions, tasks, hours, shift and/or work location, to provide transitional employment which will not exceed the employee's restrictions. If no transitional employment is available in the employee's classification, the employee may be offered temporary work outside their classification with the agreement of the local Union.

Transitional assignments shall be reviewed on a regular basis. The review interval shall be agreed upon by the State, the local Union involved and the employee. The transitional employment period shall not exceed six months unless extended by mutual agreement of the State, the local Union involved and the employee and contingent upon supporting medical documentation.

If the employee cannot return to their classification and/or assignment based upon medical verification, the State may offer education, training or other programs to assist the employee to obtain other employment opportunities in accordance with the Collective Bargaining Agreement and the Merit System Law.

No employee working in a transitional assignment will displace any bargaining unit member while participating in transitional employment.

11.13 Sick Leave Committee

The State and the Union agree to establish a committee to study the feasibility of alternatives and options to the existing sick leave benefit structure. The committee shall consist of up to three members appointed by the State and three members appointed by the Coalition. The committee will meet on a bi-monthly basis. The committee will discuss alternatives to the existing sick leave policies and structure and alternatives such as group short term disability insurance.

ARTICLE 12 PERSONAL BUSINESS LEAVE

12.1 The State shall allow each employee a maximum of thirty-two (32) hours or the equivalent of four (4) working days leave with pay, per calendar year, to be used for personal business and/or religious observance.

12.2 Employees shall not be required to state the reason for personal leave.

12.3 Prior approval for personal leave must be obtained and may only be denied if the resulting absence interferes with the proper conduct of division functions and shall be entitled to take the personal leave at another time.

12.4 Personal leave shall not be carried over from year to year.

12.5 Grievances arising out of this provision shall be filed with the Office of Labor Relations. A hearing and decision shall be rendered within twenty-four (24) hours of the receipt of such grievance.

12.6 Employees originally appointed prior to March 31st shall be entitled to four (4) personal leave days as provided in this Article.

Employees originally appointed between April 1st and June 30th shall be entitled to three (3) personal leave days as provided in this Article.

Employees originally appointed between July 1st and prior to September 30th shall be entitled to two (2) personal leave day as provided in this Article.

Employees originally appointed after September 30th shall be entitled to one (1) personal leave day as provided in this Article.

ARTICLE 13

LEAVE WITHOUT PAY

13.1 It is agreed that, upon written application, an employee with permanent status may be granted a leave without pay, not to exceed six (6) months, subject to renewal, for reason of personal illness, disability, educational improvement, or other purpose deemed proper and approved by the appointing authority and the Personnel Administrator. Approval of such leaves shall not be unreasonably withheld.

13.2 An employee shall discharge all applicable accrued leave before being granted leave without pay, however, at the employee's discretion they may choose not to discharge up to one (1) week sick leave, one (1) week vacation leave, R0 and R1 days and four (4) personal days.

13.3 At the expiration of such leave, the employee shall be returned to the position from which they are on leave at the same step of the then current range for their class of position.

13.4 Employees returning from an authorized leave for educational improvement must return to their current position for a period of one (1) year and remain in State service for two (2) years. In the event said employee fails to comply with these obligations, they

(not the Union) will be required to reimburse the State for the full amount expended by the State, including the cost of health insurance. In the event that an employee leaves State employment within two (2) years, such amounts will be pro-rated based on the months of service. The State shall deduct any paid leave accruals otherwise due upon separation from the employee's debt to the State.

13.5 All employees who transfer to a different class or are promoted will be entitled a leave of absence from their previous positions for six (6) months.

ARTICLE 14 HEALTH AND MEDICAL

14.1 See Appendix A.

ARTICLE 15 INCLEMENT WEATHER / EMERGENCIES

15.1 In the event that the Governor or designee determines that an emergency situation exists and as a result makes a public declaration that an emergency exists or that State offices are closed, the following provisions shall apply:

- 1) The Department of Administration shall determine the designated starting time of the emergency.
- 2) Employees who are either allowed to leave their work place early or are excused from traveling to work shall be allowed to discharge vacation leave, personal leave or sick leave. An employee who elects to discharge sick leave may discharge up to a maximum of two (2) sick days per calendar year for such events.
- 3) Employees who are required to remain at their place of work or to travel to work shall be compensated at the rate of time and one half for each hour worked commencing at the designated starting time of the emergency as determined by the Department of Administration.
- 4) Deletion of the prior language in Article 8 shall not negate the practice of granting time off for annual outings.

ARTICLE 16 SENIORITY, HIRING, AND LAYOFFS

16.1 It is hereby agreed that the parties hereto recognize seniority within a class of position within a division in all cases of transfer, days off, vacation time, holiday time, layoffs, and recalls.

16.2 The parties agree to recognize seniority as defined in the following manner:

Primary Seniority is the length of service within a class of position.

Secondary Seniority is the length of service in which an employee has worked in the next lower rated position in the classification hierarchy.

State Seniority is the length of service in which an employee has worked for the State.

16.3 The appointing authority shall prepare and forward to the Union President a seniority list of employees by class of position and shall notify the Union of additions and deletions each month. Seniority lists shall be updated each six (6) months.

16.4 All new and vacant positions to which recruitment is to be initiated shall only be posted on the State's internet opportunities website for a period of ten (10) calendar days and notice of such vacancies shall be sent to the Union's Executive Director and local Union Presidents or other designated officials at the time of posting via electronic means. Employees who apply for such vacancies shall do so via the State's internet employment opportunities website. Employees shall be provided reasonable access to State computers for the purposes of reviewing and applying for online postings.

Any employee holding temporary or provisional status in a class for which they must take a civil service examination may be appointed from any list of eligible certified by the State Division of Personnel for the class of position in which they are employed provided said employee is reachable on the list certified.

Where no list exists for certification, a real effort will be made to fill the vacancy from within the bargaining unit.

16.5 Primary seniority may be utilized by an employee who requests a lateral transfer in a vacant class of position, provided such primary seniority is greater than that of any employee who is eligible, seniority-wise on lists. An employee so transferred shall not be eligible to again be transferred in less than six (6) months. Transfers shall be in classification and division.

16.6 Employees performing work in the bargaining unit shall not be required to perform work in any agency outside of their career field classification, except in an emergency which is defined herein.

16.7 **Layoffs**

Whenever the State decides on a layoff of persons covered by this Agreement,

including a layoff due to job abolishment, such layoff must be reviewed and approved by the Director of Administration. All such layoffs shall be managed by the Office of Personnel Administration. Notification to the President of the Association will be sent fifteen (15) calendar days prior to sending any layoff notice. The following rules will apply to selection for layoff, bumping, and recall:

- A) "Seniority" under these rules always means state seniority.
- B) Two weeks notice of layoff shall be given to any employee so affected.
- C) The least senior employee in a class selected for layoff in the department, division, or bargaining unit will be identified for a layoff.
- D) Bumping rights hereunder apply to an equal or lower class, but not to a higher class.

16.8 Any employee who receives notice of a layoff shall have the right to accept the layoff and be placed on the recall list, or to exercise the following bumping rights:

A) **Initial Layoff Notice Plus:**

There will be a maximum of three (3) bumps following the least senior employee in a class in the department, division, or bargaining unit being identified for layoff.

B) **Priority in Bumping:**

FIRST BUMP: Employees will have the right to bump the least senior employee in any class an employee chooses, including their own, for which such employee is qualified and able to perform in the bargaining unit. The employee so bumped will be required to accept a vacancy within the same class in the bargaining unit, if available.

SECOND BUMP: If no such vacancy is available, that employee will also have the right to bump the least senior employee in any class for which such employee is qualified and able to perform in the bargaining unit. The employee so bumped will be required to accept a vacancy within the same class in the bargaining unit, if available.

THIRD AND FINAL BUMP: The final bumpee in any bumping series will be the least senior (by state seniority) employee covered by the contract in the bargaining unit, in a position which the bumping employee is qualified and able to perform such work. The employee so bumped will be laid off and placed on the recall list unless there is a vacancy in such class, in which

case the employee will be placed in such vacancy.

16.9 Special Provisions:

A) Employees will bring their current status with them into whatever classification they are eligible to bump. Employees who do not have permanent status, and who bump into a different classification will be required to serve a ninety (90) day probationary period.

B) If an employee successfully completes this probationary period, the examination will be waived to the extent permitted by law.

C) If an employee fails a probationary period, such failure may be grieved by the Union. Absent mutual agreement between the employer, the Union, and the employee, the employee must serve at least sixty (60) days of the ninety (90) day probationary period, the State will have the option of offering to place such employee in any vacant position which the State deems available and appropriate for such employee in an equal or lower class, which vacancy has been posted. The failure to offer any such vacancy shall not be subject to the grievance and arbitration procedure. If the State makes an offer which is accepted by such employee, with the consent of the Union, the failure of the State to offer such vacancy to any other employee shall not be subject to the grievance and arbitration procedure.

D) Vacancies so filled in accordance with this Article, which have not been previously posted, will be posted on a closed bid for lateral transfers within the bargaining unit only using primary seniority. Such closed bids will be made within ninety (90) days.

E) If the least senior employee in any classification in the bargaining unit is a part-time employee working fewer than 35 hours per week, the bumping full-time employee may pass such person and bump the least senior full-time employee in the same classification.

F) Employees covered by RIGL 36-4-59, 36-5-7, or 36-5-8 who have their position eliminated will retain the right to remain in State service in a comparable position with no reduction in salary or status. The State will offer alternative assignments if available. If the employee chooses not to accept such assignments, the employee will be subject to the same bumping rules as listed under this section, without loss of salary or status.

16.10 Recall Rights

A) Employees affected by a layoff action including employees who bumped, will be placed on an appropriate recall list and shall be recalled in order of state seniority.

B) An employee will have recall rights to a position in the same class in the

bargaining unit which management intends to fill, from which the employee was laid off and exercised bumping rights. Any employee who refuses a recall to an unrestricted position will be removed from the recall list.

C) In addition, an employee who has been actually laid off and is on the recall list will have recall rights to any other position in the bargaining unit for which such employee is qualified and able to perform, in an equal or lower class, provided such employee has indicated in advance a desire and willingness for the job in "parameters" established at time of layoff, and subject to completion of a ninety (90) day probationary period in the manner specified in subsection 5 in any class employee has never had permanent status, with recourse to expedited arbitration. An employee who refuses three (3) recall offers to unrestricted positions shall forfeit all recall rights.

D) No appointment may be made to any position covered by this contract in a class affected by a layoff set forth in the preceding paragraphs while an employee who has been laid off is available for recall and remains on the recall list.

E) The parties agree to make expedited arbitration without going through grievance procedure, the exclusive procedure for resolving disputes over layoff, bumping, and recall.

16.11 Employees appointed from employment or promotional lists shall serve a probationary period of six (6) months, (130 days worked) during which time, the appointing authority shall report to the Personnel Administrator every sixty (60) days concerning the work of the employee; and at the expiration of the probationary period, unless the appointing authority files with the Personnel Administrator a statement in writing that the services of the employee during the probationary period have not been satisfactory and that it is desired that they not be continued in the service, they shall receive permanent status in their classification. Each new employee not appointed from a list shall be considered a temporary employee and also shall serve a probationary period of six (6) months.

16.12 Any employee may be dismissed without recourse during the probationary period for reasons relating to the employee's qualifications or for the good of the service. It is intended that "probationary period" in the above sentence shall mean the original probation and shall not apply to the probationary period served after a promotional appointment.

16.13 All new and vacant positions shall be filled within three (3) pay periods after the bidding procedure is completed and an employee has been selected for the position in question.

16.14 Seniority shall be considered broken for the following reasons only:

- A) When an employee has been discharged for just cause;
- B) When an employee voluntarily terminates their employment;
- C) When an employee fails to respond to a recall notice;
- D) When an employee fails to notify their departmental director of an absence from work within three (3) working days, unless extenuating circumstances prohibit such notice;
- E) When an employee fails to renew a leave of absence;
- F) When an employee engages in other work without authorization while on leave of absence;
- G) When an employee is laid off in excess of three (3) consecutive years.

16.15 The Union recognizes the State's right to reorganize. The following parameters shall be followed by the parties when a reorganization is necessitated by an Executive Order or legislation.

A) The State shall notify the President of the Association at least fifteen (15) calendar days in advance of notification to bargaining unit member of its intention to reorganize.

B) The Union and the State shall meet immediately to review and discuss the State's plan for such reorganization and proposed alternatives or changes. The parties will attempt to develop a mutually acceptable procedure for dealing with bargaining unit members affected by the State's reorganization plan within thirty (30) days of the initial contact with the President of the Association. If no agreement is reached, the reorganization plan will proceed pursuant to this Article. The Union cannot grieve the inability of the parties to agree to a reorganization plan.

C) That plan shall include a thirty (30) day notification to the affected employees.

D) Affected employees shall be given the right to bid on new assignments by primary seniority.

E) Affected employees who have no available assignments within their classification will be offered vacant positions by state seniority which the State intends to fill and which have been posted in other classifications which they are qualified and able to fill, including positions of equal pay and positions in lower classes without loss of pay.

F) Any employees affected by reorganization who do not exercise seniority to fill

any such available comparable assignment or vacancy without loss of pay will be allowed to bump the least senior employee not directly involved in the reorganization in classification within the bargaining unit. If the employee cannot bump the least senior employee in class, the employee will bump the least senior employee in any class, in the bargaining unit, which such employee is qualified and able to fill. The employee bumped shall take whatever comparable assignment or vacancy is available without loss of pay, by state seniority. The rights of the bumping employee and of the bumpee shall be to a position in an equal or lower class, but not in a higher class.

G) In cases where an entire operation is moved from one location to another, all affected employees will be redeployed.

H) In the event that the number of employees affected by reorganization exceeds the number of available assignments or vacancies, any layoffs will be governed by the provisions in this Article.

I) Assignment of bargaining unit work: No grievance shall be filed or supported by the Union alleging that bargaining unit work previously performed within the bargaining unit has been assigned outside of such bargaining unit pursuant to the implementation of a reorganization, unless it is in conjunction with a request for a union representation issue as a result of such reorganization. Such grievance would be held in abeyance by the parties until an official decision is issued by the Labor Board or the inter-union dispute is resolved through an alternative dispute resolution procedure. In no event shall the Union seek nullification of the reorganization as a remedy for such grievance. This section shall be operative only with regard to labor organizations whose collective bargaining agreements contain similar language.

J) Reclassification of existing bargaining unit classifications must be done through negotiations between the parties.

K) Any changes in shifts and/or days off only shall not constitute a reorganization under this Article and must be done under Article 5 of this Agreement.

ARTICLE 17

RECLASSIFICATION AND/OR UPGRADING

17.1 During the term of this Agreement, any employee who believes their responsibilities more closely resemble the job description of another classified position, rather than the one assigned, or any employee asked to perform responsibilities out of classification, or any employee whose duties have been significantly changed to reflect duties required of a higher classification, may appeal for reclassification or upgrading to that position in the following manner:

A) Make a request in writing for a desk audit to the Personnel Officer or other

appropriate official within the agency. The employee shall be furnished with a questionnaire within five (5) working days of said request.

B) Within five (5) working days, upon receipt of the completed questionnaire the employee's immediate supervisor and department director shall forward said questionnaire to the Division of Personnel, Classification Section, for study. The Department director or designee shall then notify the employee and the Union that documents were sent to Personnel.

C) Within sixty (60) working days after receipt of said questionnaire, the Personnel Division shall issue a finding relative to the merits of the employee's claim. The report will be in writing, and it will set forth specific reasons for approval or denial. A copy of this report will be forwarded to the employee and the Union.

D) If the appeal is granted, it shall be implemented in accordance with the provision of Merit System Law and Personnel Rule 2.015 as of the date of this contract:

"When an employee holds permanent status, or is serving a probationary period in a class of position, and the position is reallocated to a different class of position, the Personnel Administrator may approve their employment in said different class if their name appears in a current list deemed appropriate by the Personnel Administrator, or if he passes an open competitive examination of the same degree of difficulty as an open competitive examination for said different class of position; otherwise, the employee may be employed in the said different class of position only with temporary status, subject to the provisions of the Act and Rules."

If an employee's position is reallocated from a standard to a non-standard classification, and the overtime payment to be repaid exceed the amount of retroactive pay resulting from the reallocation, then for pay purposes, the reallocation shall be prospective only and the employee shall neither be paid retroactively nor obligated to repay overtime payments received.

E) If the appeal of the employee is denied by the Personnel Division, then the employee may appeal to the Director of Administration or designee, who shall conduct a hearing with the employee within ten (10) working days of the request for an appeal by the employee. The Director of Administration or said designee shall render a decision in writing containing specific reasons for approval or denial within ten (10) working days of the hearing. Copies will be sent to the employee and the Union.

F) If the appeal is denied by the Director of Administration, the employee may appeal to the State Personnel Appeal Board. The decision of the Personnel Appeal Board shall be final and binding.

G) If the appeal of the employee is granted, the employee will be paid retroactively to the date of filing.

H) The State agrees that work will not be reassigned for the purpose of avoiding reclassification or upgrading during a reclassification or upgrading appeal.

I) In the event the employee is in fact working out of classification, or their job is determined to be in need of an upgrading, the State may exercise one of two options:

1. The employee should be reallocated to the position that best represents the duties performed by the employee, or;
2. Inform the employee they are not to perform any duties other than those required of the class of specifications for said position.

ARTICLE 18 RETIREMENT

18.1 It is agreed by the parties hereto that all employees covered by this Agreement shall be the recipients and beneficiaries of all retirement benefits contained in the General Laws of the State of Rhode Island as amended from time to time, as well as of any rules and regulations or determinations made by the State Retirement Board as set forth in Title 36, Chapter 8 of the General Laws of the State of Rhode Island as it pertains to said Board's establishment of rules and regulations as of the date of this contract for the administration and transaction of the business of the Retirement System.

ARTICLE 19 GROUP LIFE INSURANCE

19.1 It is agreed that all employees shall be eligible to participate in the State Employees' Group Life Insurance Program, as established by R.I.G.L. 36-12-6.

19.2 The following provisions of the insurance program are set forth herein:

A) Each new employee will be automatically covered, unless such employee designates in writing that they desire not to be insured.

B) Each covered employee will be provided with an amount of group life insurance equal to the amount of their annual compensation taken to the next higher multiple of one thousand (\$1,000.00) dollars, plus an equal amount of group accidental death insurance with dismemberment coverage.

C) Each such amounts of insurance will be reduced by one percent (1%) thereof at the end of each calendar month following the date the employee attains the age of sixty-five (65) years until the amount of such insurance reaches twenty-five percent (25%) of the coverage in force immediately prior to the employee's sixty-fifth birthday.

D) The cost to the employee of such insurance shall not exceed the rate of twenty-

five cents (.25) bi-weekly for each one thousand dollars (\$1,000.00) of their group life insurance.

E) Upon an employee's termination from State service, the policy may be converted to an individual policy of life insurance at standard rates.

ARTICLE 20

EDUCATION REIMBURSEMENT

20.1 An educational benefit will be made available to all members of the bargaining unit in accordance with the following:

A) Tuition reimbursement shall be funded by the Department in an amount not to exceed \$13,000 per fiscal year. Any funds unused shall not be carried over from one fiscal year to another.

B) An Education Committee shall be established consisting of two (2) members from the State and two (2) members from the Union and said Committee shall appoint a fifth member who shall be the chairperson. The Committee shall review all course requests and shall provide all policies and procedures for implementation of the tuition reimbursement program for undergraduate, graduate, and career programs.

C) Course disbursement shall be made in a fair and equitable manner and shall benefit the greatest number of employees as is practicable. Course reimbursement shall be made on the basis of state seniority.

ARTICLE 21

DISCIPLINE AND DISCHARGE

21.1 Disciplinary action may be imposed upon an employee only for just cause. Any disciplinary action imposed upon an employee may be processed as a grievance through the regular grievance procedure as outlined in Article 22. If the appointing authority has reason to reprimand an employee, it shall be done in a manner that will not embarrass the employee before other employees or the public. Initial minor infractions, irregularities, or deficiencies shall be privately brought to the attention of the employee. After a period of one (1) year, if the employee has not committed any further infractions of appropriate rules and regulations, oral reprimands shall be removed from the employee's personnel record. After a period of two (2) years, if the employee has not committed any further infractions of appropriate rules and regulations, written reprimands shall be expunged from the employee's personnel record.

After a period of four (4) years, if the employee has not committed any further infractions of appropriate rules and regulations, suspensions of five (5) days or fewer will automatically be removed from the employee's personnel records. The preceding

sentence shall apply to suspensions of five (5) days or fewer issued prior to and after the ratification of this Agreement.

Each employee shall be furnished with a copy of all performance evaluations or disciplinary entries in their personnel file and shall be permitted to respond thereto. The contents of an employee's personnel records shall be disclosed to the employee upon their request and shall also be disclosed to the employee's Union representative. Where appropriate, disciplinary action or measures shall include only the following:

1. Oral reprimand
2. Written reprimand
3. Suspension
4. Discharge
5. Demotion

When disciplinary action is to be implemented from Step 2 on, the appointing authority shall before or at the time such action is taken, notify the employee and the Union in writing of the specific reasons for such action.

21.2 The appointing authority shall not discharge or suspend an employee without just cause. Within two (2) weeks of such suspension or discharge, the Union may file a grievance at Step 2 of Article 22.2 and such hearing shall be held no later than three (3) days after the Union's request.

ARTICLE 22

GRIEVANCE PROCEDURE

22.1 The purpose of this procedure is to provide a mutually acceptable method for the prompt and equitable settlement of grievances over the interpretation, application, or violation of this Agreement.

22.2 For the purpose of this agreement, a grievance is defined as any difference or dispute between the State and the Union or between the State and any employee with respect to the interpretation, application, or violation of any of the provisions of this agreement.

22.3 There shall be a grievance procedure as follows:

Step 1

A grievance shall be presented in writing by the aggrieved employee and/or by the Union to either the immediate supervisor or other designee of the Department within twenty-one (21) days of the employee's and/or Union's knowledge of the occurrence of such grievance.

The aggrieved employee shall discuss their problem with their Union representative and immediate supervisor and/or other designee of the department within seven (7) days of the submission of the grievance in an attempt to settle the issue. The supervisor and/or other designee of the department shall allow or deny the grievance or otherwise respond to the Union and the employee within seven (7) days of the meeting.

Step 2

If the grievance is not resolved after seven (7) days of the Step 1 meeting or if the Department has failed to otherwise respond according to Step 1 above, and in the event the Union and/or the employee wishes to pursue the matter further, the Union and/or employee shall submit the grievance in writing to the designee of the Director of the Department of Administration within thirty (30) days thereafter. The designee of the Director of the Department of Administration shall schedule a hearing within thirty (30) days and shall render a decision in writing within thirty (30) days of the hearing.

Step 3

In the event the grievance is not resolved at the Step 2 level in a manner satisfactory to the aggrieved member and/or the Union, and the Union and/or employee wishes to pursue the matter further, then such grievance may be submitted to Arbitration by the Union within thirty (30) days of the transmittal of the hearing officer's written decision in Step 2.

Miscellaneous

Sustained grievances will be implemented or the necessary paperwork to implement the decision will be initiated within twenty-one (21) days after the receipt of the decision from the Department of Administration.

All grievance hearings held under the procedure shall be conducted at a time and place, which will afford a fair, and reasonable opportunity for all persons entitled to be present to attend.

The time for scheduling a hearing may be extended in the event of the parties agreeing to hold the matter in abeyance for a period of time or otherwise by consent of the parties.

Either party to this Agreement shall be permitted to call witnesses as part of the grievance procedure.

Grievance hearings are intended to be an informal expedited process to resolve disputes. The State, on request, will produce payroll and other records, as necessary. Requests shall be made at least seven (7) days in advance of the grievance hearing. The State shall comply with the request within 48 hours.

Members of the Union committee, stewards, the aggrieved employee, and

employee witnesses, who are State employees, will be paid at their regular rate up to their normal quitting time for time spent in processing grievances.

The Union representatives will have the right to assist the aggrieved at any step of the grievance procedure.

Nothing contained herein deprives an individual employee of the right to act on their own counsel. If such grievance is processed without a Union representative, the Union retains the right to attend all meetings and hearing as an observer. If such grievance is processed without a Union representative, the facts and the resolution of said grievance will be furnished by the State to the Union at the time the grievance is being processed. The final resolution must be consistent with the terms of this Agreement. However, any grievance which is sustained by the State and which is contrary to the Agreement will be subject to appeal by the Union.

In all cases of suspension, demotion or dismissal of an employee, the aggrieved employee, the Union, and/or the State may request to immediately proceed to Step 2 of the grievance procedure.

The State shall provide the Coalition Bargaining Team with electronic copies (i.e., PDF files) of arbitration decisions that the State receives from Labor Relations Connection received after March 2015.

A Civil Service employee may process their grievance through either the grievance procedure or before the Personnel Appeal Board. Initiation of a matter before the Personnel Appeal Board shall be deemed a waiver of the employee's right to utilize the grievance procedure provided herein with respect to that matter. Nothing herein is in any way intended to extend the statutory jurisdiction of the Personnel Appeal Board.

The State is in the process of developing an electronic on-line system to file, process, schedule and track grievances. At such time as the State is prepared to test the new system, the State will notify the Union for the Union's review, comments and testing prior to implementation. The State will use reasonable efforts to accommodate suggestions/requests from the Union. When the system becomes operational, the Union will fully cooperate with the use thereof to include the use of standardized grievance forms and the filing and processing of grievances through the on-line system.

22.4 The State shall allow each employee in the bargaining unit the right, upon request, to review the contents of their personnel file. No material derogatory to an employee's conduct, service, character or personality will be placed in said employee's personnel file, unless they have had an opportunity to review the material. Material including references obtained relative to an employee's initial appointment shall be considered confidential and not subject to review by the employee.

ARTICLE 23

ARBITRATION

23.1 If a grievance is not settled under Article 22, such grievance shall, at the request of the Union or the State, be referred to The Labor Relations Connection (or any other entity that the parties agree to). The parties may mutually agree to an alternative method of arbitration.

23.2 The decision of the arbitrator shall be final and binding upon the parties. The expense of such arbitrations shall be borne equally by the parties.

23.3 Only grievances arising out of the provisions of this Agreement relating to the application or interpretation thereof, may be submitted to arbitration.

23.4 All matters concerning changes in wage schedules, monetary fringe benefits or any other matters requiring appropriation of money shall not become a subject for arbitration. It is understood that this section shall not be applied to any obligation arising under this Agreement.

23.5 All submissions to arbitration must be made within thirty (30) days after the grievance procedure decision.

23.6 Grievances involving suspension, discharge, or health and safety issues that the Union wishes to submit to arbitration must be submitted by the Union within ten (10) days of the rendering of the decision regarding such grievances by the designee of the Director of the Department of Administration. Such submissions to arbitration must be heard within four (4) months. The parties are not responsible for any delay beyond the four (4) months stipulated time frame if such delay is caused by The Labor Relations Connection (or any other entity that the parties agree to).

23.7 The State shall, within 60 days of ratification, provide to the Coalition Bargaining Team and University of Rhode Island Schmidt Labor Research Center (the "Labor Institute"), a disk containing the electronic copies (i.e. PDF files) of the arbitration decisions that the State has compiled to date. The parties will also jointly request that the Labor Relations Connection provide an electronic copy of all arbitration decisions to the parties and to the Labor Institute.

ARTICLE 24

LEGAL DEFENSE

24.1 The State agrees to provide legal defense for and to hold harmless the employees who are defendants in civil litigation arising from their conduct on behalf of the State. Provided, however, that this provision shall apply only in cases which the employee is

being sued for errors or mistakes in intellectual judgment or analysis and shall not apply to physical acts of the employee, except in reasonable self-defense.

ARTICLE 25 JURY LEAVE

25.1 Every employee covered by this Agreement who is ordered by appropriate authority to report for jury duty shall be granted a leave of absence from their regular duties during the actual period of such jury duty and shall receive for such period of jury duty their regular pay or jury duty pay, whichever is greater.

25.2 Every employee covered by this Agreement, who is subpoenaed to appear in Court on State business on a day off or during vacation, shall be compensated for the time expended.

Any employee who expends time in accordance with this section shall be paid at the rate of time and one-half. A minimum of four (4) hours shall be allocated to each employee, regardless of the time expended less than four (4) hours.

ARTICLE 26 MILITARY LEAVE

26.1 Every employee covered by this Agreement who has left or shall leave their position by reason of entering the armed forces of the United States (whether through membership in the Reserve of the United States Military or Naval Forces or in Rhode Island National Guard or Naval Reserve, when any of the foregoing units are called to active federal duty, or by reason of enlistment, induction, commission, or otherwise) is entitled to and is hereby granted military leave of absence from the said position, commencing with the time of leaving said position for said purpose and continuing throughout the duration of said absence required by the continuance of service in the armed forces. Such leave of absence shall be deemed to have expired six (6) months after the date of discharge from or authorized separation from active duty as a member of the armed forces. Re-enlistment or other continued service in the armed forces, resulting from a choice by the employee, shall serve to cancel such leave.

26.2(A) For the first sixty (60) calendar days of such absence, each such employee who has been employed for one-hundred eighty or more calendar days within the twelve months next preceding such entrance into the armed forces shall be paid by the State the difference between the employee's State salary and military base pay.

26.2(B) Employees who are called up to military duty in defense of our nation and mobilized in excess of sixty (60) days shall be paid the difference between the employee's State salary and military base pay for as long as the employee remains deployed on active duty. If the employee provides documentation of their military base pay rate, the

State shall provide for payments under this Section on an on-going basis through direct deposit or other payment method. The employee shall notify the State at the conclusion of the period of mobilization, so that adjustments may be made to reflect actual military base pay received. Re-enlistment or other continued service in the armed forces resulting from a choice by the employee shall cancel such payments.

26.3 In no case shall such employee receive more than the amount the employee would have received had the employee not been absent from their position. No employee shall be allowed to receive pay more than once under this Article for Military Leave for training purposes in a three (3) year period.

26.4 Employees on paid leave, described in paragraphs 26.2(A) and 26.2(B) above, shall accrue such sick leave and annual leave credits as they would have accrued while working in said position.

26.5 Employees on military leave shall be granted yearly salary increases and longevity increases when due in accordance with the conditions of eligibility outlined in these regulations.

26.6 At the conclusion of such military leave of absence, the employee shall be returned to their position, subject, however, to any law or rule which may hereafter be enacted affecting such right of return or defining the conditions under which such return may be made. At the conclusion of each calendar year during such absence, annual leave and sick leave accumulations shall be carried over to the credit of the employee.

26.7 The State will follow the terms of the State statute applicable to municipal employees entering military service, R.I. Gen. Laws § 30-6-6. The employee/military member's family (but not the employee/military member) will be allowed to stay in the State's plan for the duration of the employee/military member's service subject to the family paying the applicable premium co-share.

ARTICLE 27

MILITARY TRAINING LEAVE

27.1 Employees covered by this Agreement who, by reason of membership in the United States Military, Naval or Air Reserve of the Rhode Island National Guard or Naval Reserve, are required by the appropriate authorities to participate in training activities or in active duty as a part of the State military force or special duty as a part of the Federal Military Force, shall be granted military training leave with pay not to exceed fifteen (15) working days in any one calendar year. Should the employee be required to participate in such training activities for a period greater than fifteen (15) working days, they shall be granted leave without pay for this purpose.

27.2 During the period of military training leave with pay, the employee shall accrue sick and vacation leave credits.

27.3 Such training activities as defined in this section shall not include weekly drill nights or similar drill periods lasting less than one (1) day or training periods voluntarily engaged in by the employees beyond the training period required generally of the members of the respective armed service.

ARTICLE 28

UNION COMMUNICATION AND RIGHTS

28.1 Designated Union members or officers shall be granted time with pay during working hours to investigate and seek to settle grievances and to attend hearings and meetings and conferences on contract negotiations with State officials. Such time shall be with the approval of the department director involved, and such approval shall not be unreasonably withheld.

28.2 No Union steward or committee member or representative shall be discriminated against as a result of performance of legitimate Union business.

28.3 The Union shall furnish the State and Department with a written list of its officers immediately after their designation and shall promptly notify the State of any change in such officers.

28.4 Union representatives will be permitted to visit Union officers and committee members on State premises for the purpose of discussing Union business.

28.5 Time for Union officials including implementation and accountability procedures for such time, can be subject for further contract negotiations. There will be no deadline for entering into such contract negotiations on this issue.

28.6 The provisions of this Contract regarding time off for union business for Union officials shall supersede all existing inconsistent memoranda, policies and orders.

28.7 The State agrees to provide reasonable bulletin board space for exclusive use by the Union where notices may be posted.

ARTICLE 29

HEALTH AND SAFETY

29.1 The State shall make every reasonable effort to provide and maintain safe working conditions relating to the safety and health of employees.

29.2 Each supervisor shall take prompt and appropriate action to correct any unsafe conditions or actions that are reported to or observed by them.

29.3 A safety committee shall be appointed composed of two (2) representatives

selected by the Union and two (2) representatives by the State. Said committee shall appoint its own chairperson and shall meet when it is determined by two (2) or more members that such a meeting is warranted. It may draw up a health and safety code to recommend to the State.

ARTICLE 30

LABOR MANAGEMENT COMMITTEE

30.1 During the term of this Agreement, the parties agree to meet concerning the overall administration of the Agreement, problems concerning the welfare of the Union and the State and other matters of import. The Union representatives shall be composed of the Union's President designee and appropriate officers. The State representatives shall be those members selected by the designee of the Director of the Department of Administration. The parties agreed to establish a committee "Fair Labor Standards Act Impact on Nonstandard Employees".

ARTICLE 31

NO STRIKES OR LOCKOUTS

31.1 The Union and its members will not cause, call or sanction any strike, work stoppage, or slowdown, nor will the State lockout its employees during the term of this Agreement.

31.2 It is agreed that all provisions of this Agreement are binding on each of the individuals covered by this contract.

ARTICLE 32

SUB-CONTRACTING PROCEDURE

32.1 The State shall continue to provide work for employees in the bargaining units and shall avoid insofar as is practicable, the sub-contracting of work performed by employees in the bargaining unit on the date of this Agreement, provided however:

32.2 The State agrees that upon considering sub-contracting of any work presently performed by an employee which would have an adverse affect upon job security, wage rate, or classification status of any employee in the bargaining unit, it shall:

1) Notify the Union's President in writing of its intention six (6) months in advance of sub-contracting, and;

2) Meet with the Union prior to receiving bids or letting contracts for the purpose of discussing the problem during which discussions the Union will be granted reasonable requested opportunities to meet with the Director of Administration or other appropriate State official to discuss a desirability of sub-contracting and to develop and establish a

mutually acceptable plan for protecting adversely affected employees.

The State's assurances in the development of such plan would be to:

A) Place employees affected by the sub-contracting into available jobs that they can perform;

B) Place employees laid off on a preferred hiring list for recall;

C) Prohibit the hiring of any new employees to positions which the affected employees could perform;

D) Attempt to waive or modify any law or regulation that would in any way deny preferred treatment of affected employees.

In the event that such mutually acceptable plan is not resolved, either party may request the Federal Mediation and Conciliation Service to attempt to resolve the dispute. If such efforts do not provide a mutually acceptable plan, the FMCS shall recommend steps to be taken by the parties, but in any event employees adversely affected by sub-contracting shall be enabled to utilize the seniority rights as established in this Agreement.

ARTICLE 33 OVERPAYMENTS

33.1 When an employee has received additional compensation for which they are not entitled the State shall recover such overpayment at the rate of ten (10) percent of the overpayment or \$100.00 whichever is the lesser per pay period until the amount of the overpayment is fully recovered. The State shall notify the Union prior to recovering the overpayment when an overpayment has occurred.

ARTICLE 34 MILEAGE PROVISIONS

34.1 When an employee is required by the employer to use his or her vehicle for official State business they will be paid mileage at the rate set by the Internal Revenue Service.

ARTICLE 35 SEVERABILITY

35.1 In the event that any Article, Section or portion of this Agreement, or any arbitrator's decision rendered under the terms of this Agreement, is found to be invalid by a decision of a tribunal of competent jurisdiction or is unreasonably inconsistent with a national policy of wage and price controls, or shall have the effect of loss to the State of funds made available through federal law, then such specific arbitrator's decision, Article, Section or

portion specified in such tribunal decision or so in conflict or having such effect shall be of no force and effect. In such an event, either party shall have the right immediately to reopen negotiations with respect to a substitute for such Article, Section or portion. The parties agree to use their best effort to contest any such loss of federal funds which may be threatened.

35.2 Except as otherwise expressly provided herein, all privileges and benefits which employees have hitherto enjoyed shall be maintained and continued by the State during the terms of this Agreement.

ARTICLE 36 ALTERATION OF AGREEMENT

36.1 The State and the Union acknowledge that this Agreement represents the results of collective bargaining negotiations between the said parties conducted under and in accordance with the provisions of the Labor Relations Act and constitutes the entire Agreement between the parties for the duration of the life of said Agreements; each party waiving the right to bargaining collectively with each other with reference to any subject matter, issue or thing, whether specifically covered herein or wholly omitted here from and irrespective of whether said subject was mentioned or discussed during the negotiations preceding the execution of this Agreement.

36.2 It is hereby agreed that any alteration or modification of this Agreement shall be binding upon the parties hereto only if executed in writing.

36.3 The waiver of any breach or condition of this Agreement by either party shall not constitute a precedent in the future enforcement of all the terms and conditions herein.

ARTICLE 37 STUDY GROUP

37.1 The parties agree to the formation of a statewide joint labor and management Study Group to work cooperatively to identify and recommend areas of potential savings in State Government, including but not limited to the use of contracted services. Three members shall be appointed by the State and three members shall be appointed by and on behalf of all the Unions representing State employees. The Director of Administration (or his designee) shall preside over the meetings. This committee shall be formed within thirty days after the signing of this agreement.

ARTICLE 38 RETIREE HEALTH INSURANCE

38.1 The parties agree that retiree health insurance benefits as set forth in Article 4 of 08-H 7204 SUB A, as amended, approved May 1, 2008, shall remain in effect for the term

of this agreement. No provision of the collective bargaining agreement shall provide benefits inconsistent with such law.

ARTICLE 39 PARITY

39.1 Notwithstanding any provision in the Collective Bargaining Agreement regarding parity, the Union shall have no claim to parity as to health insurance plan design, dental insurance plan design, prescription drug plan design, co-share charges for health insurance, dental insurance, or vision care insurance, employee waiver payment, or wages, based on the terms of the collective bargaining agreements between the State and either the Howard Union of Teachers or the Rhode Island Troopers Association.

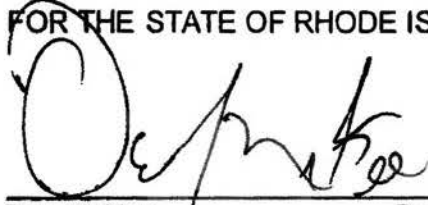
ARTICLE 40 TERMINATION AND REOPENING OF AGREEMENT

40.1 This agreement shall be in force from July 1, 2021 through June 30, 2024.

40.2 This Agreement shall be automatically renewed from year to year thereafter, unless either party shall notify the other in writing ninety (90) days prior to the anniversary date that it desires to modify this Agreement. In the event that such notice is given, negotiations shall begin not later than sixty (60) days prior to the termination date. This Agreement shall remain in full force and be effective during the period of negotiations and until notice of termination of this Agreement to the other party. In the event that either party desires to terminate this Agreement, written notice must be given to the other party not less than ten (10) days prior to the designated termination date.

IN WITNESS WHEREOF, the parties have set their hands this day _____ of _____, 2023.

FOR THE STATE OF RHODE ISLAND



Dan McKee Date
Governor



Jonathan Womer Date
Director of Administration

FOR THE ASSOCIATION OF
RHODE ISLAND STATE SUPERVISORS



Richard Coia Date
President

APPENDIX A HEALTH INSURANCE

The State will maintain the current health benefits through December 31, 2018, through a product provided by United HealthCare, or a substantially equivalent package of benefits delivered through a PPO.

Effective January 1, 2019, the State shall offer three plan designs called Anchor Plus Plan, Anchor Plan and Anchor Choice with HSA Plan. These plan designs shall include the following components:

- A Medical Necessity program
- A PCP Coordination of Care program
- A Place of Service Tiering for Imaging Services program
- A Cancer Support program
- Bariatric Resource Services

A. Anchor Plus Plan:

In Network Deductible*	\$500 (\$1,000 family)
In Network Out of Pocket Max**	\$1,000 (\$2,000 family)
Out of Network Deductible	\$1,000 (\$2,000 family)
Out of Network Out of Pocket Max	\$5,000 (\$10,000 family)
In-Network Coinsurance	10%
Out of Network Coinsurance	30%

*The family deductible is cumulative, meaning any combination of items covered by the deductible paid by family members counts toward the deductible until the full amount of the deductible has been met.

**The in-network out-of-pocket maximum is a combined out-of-pocket maximum with the pharmacy out-of-pocket maximum.

The following in-network copays shall be in effect for the Anchor Plus Plan:

- (1) Preventive care office visits are covered in full;
- (2) Office visit (non-preventative) PCP - \$15 copay;
- (3) Specialist office visit - \$25/\$50 copay (higher specialist copay applies without referral under PCP Coordination of Care);
- (4) Chiropractic care – \$15 copay;
- (5) Diagnostic tests (X-rays, blood work) – no charge;
- (6) Imaging (CT/PET Scans, MRIs) – coinsurance applies after deductible (covered in full after deductible if an imaging center is used);
- (7) Inpatient hospital – coinsurance after deductible;
- (8) Outpatient surgery – coinsurance after deductible;
- (9) Mental Health/Substance Use Disorder – in-patient: coinsurance after deductible, outpatient: \$15 copay;
- (10) Emergency room - \$125 copay;
- (11) Ambulance – covered in full;
- (12) Urgent care - \$50 copay;
- (13) Physical therapy, occupational therapy and speech therapy - \$15 copay.

B. Anchor Plan:

In Network Deductible*	\$1,000 (\$2,000 family)
In Network Out of Pocket Max**	\$2,000 (\$4,000 family)
Out of Network Deductible	\$2,000 (\$4,000 family)
Out of Network Out of Pocket Max	\$6,000 (\$12,000 family)
In-Network Coinsurance	10%
Out of Network Coinsurance	30%

*The family deductible is cumulative, meaning any combination of items covered by the deductible paid by family members counts toward the deductible until the full

amount of the deductible has been met.

**The in-network out-of-pocket maximum is a combined out-of-pocket maximum with the pharmacy out-of-pocket maximum.

The following in-network copays shall be in effect for the Anchor Plan:

- (1) Preventive care office visits are covered in full;
- (2) Office visit (non-preventative) PCP - \$15 copay;
- (3) Specialist office visit - \$25/\$50 copay (higher specialist copay applies without referral under PCP Coordination of Care);

- (4) Chiropractic care - \$15 copay;
- (5) Diagnostic tests (X-rays, blood work) – no charge;
- (6) Imaging (CT/PET Scans, MRIs) – coinsurance applies after deductible.

(Covered in full after deductible if an imaging center is used);

- (7) Inpatient hospital – coinsurance after deductible;
- (8) Outpatient surgery – coinsurance after deductible;
- (9) Mental Health/Substance Use Disorder – in-patient: coinsurance after deductible, outpatient: \$15 copay;

- (10) Emergency room - \$150 copay;
- (11) Ambulance – covered in full;
- (12) Urgent care - \$50 copay;
- (13) Physical therapy, occupational therapy and speech therapy - \$15 copay.

C. Anchor Choice with HSA Plan:

Each member that enrolls in the Anchor Choice Plan with HSA shall receive an

HSA contribution from the State in the amount of \$1,500 for individuals or \$3,000 for families. Fifty percent (50%) of each State HSA contribution shall be deposited on January 1st and 50% shall be deposited on July 1st during each year of the collective bargaining agreement. The State will not pro-rate its HSA contributions for members enrolling after January 1st or July 1st.

In Network Deductible*	\$1,500 (\$3,000 family)
In Network Out of Pocket Max**	\$3,000 (\$6,000 family)
Out of Network Deductible*, **	\$2,250 (\$4,500 family)
Out of Network Out of Pocket Max**	\$4,500 (\$9,000 family)
In-Network Coinsurance	10%
Out of Network Coinsurance	30%

*The family deductible is cumulative, meaning any combination of items covered by the deductible paid by family members counts toward the deductible until the full amount of the deductible has been met.

**The in-network and out of network deductibles and out-of-pocket maximums are combined deductibles and out-of-pocket maximums with the pharmacy deductibles and out-of-pocket maximum.

The following in-network copays shall be in effect for the Anchor Choice HSA Plan:

- (1) Preventive care office visits are covered in full;
- (2) Office visit (non-preventative) PCP – coinsurance after deductible;
- (3) Specialist office visit copay – 10%/30% after deductible. (Higher specialist coinsurance applies without referral under PCP Coordination of Care);
- (4) Chiropractic care – coinsurance after deductible;
- (5) Diagnostic tests (X-rays, blood work) – coinsurance after deductible;
- (6) Imaging (CT/PET Scans, MRIs) – coinsurance after deductible. (Covered

in full after deductible if an imaging center is used);

(7) Inpatient hospital – coinsurance after deductible;

(8) Outpatient surgery – coinsurance after deductible;

(9) Mental Health/Substance Use Disorder – in-patient: coinsurance after deductible, outpatient: coinsurance after deductible;

(10) Emergency room copay – coinsurance after deductible;

(11) Ambulance: coinsurance after deductible;

(12) Urgent care copay – coinsurance after deductible;

(13) Physical therapy, occupational therapy and speech therapy copay – coinsurance after deductible.

1. If two State employed spouses hired into state service on or after June 29, 2014 are covered under one State family insurance plan, the co-share set forth in this Collective Bargaining Agreement shall be determined based on the income of the higher of the two spouses as determined by the annualized total rate of pay. Further, the spouse that does not receive insurance through the State but is covered by their State employed spouse will not receive the waiver payment.
2. For clarification purposes only, employees on paid or unpaid leave are responsible for their regular co-share payment for health insurance (i.e. medical, dental and vision). Failure to make such payments may result in termination of such benefits upon thirty (30) days' notice.
3. Insurance. Eligible employees shall contribute toward the cost of health care coverage based on a percentage of premiums for either the individual or family

plan as set forth below for medical insurance, dental benefits and/or vision/optical benefits. Said co-share percentages shall apply based on the employee's annualized total rate and shall be via payroll deductions.

The Co-Share contribution salary levels for full-time and part-time employees shall be increased based on the employee's annualized total rate of pay. The Co-Share percentage levels for eligible employees shall be increased by 2.5% effective June 21, 2020; 2.5% effective June 20, 2021; 2.5% effective June 19, 2022; and 2.5% effective June 18, 2023.

Eligible part time employees (scheduled hours <35.0 for a 35.0 hour position or <40.0 for a 40.0 hour position) shall contribute toward the cost of health care coverage based on a percentage of premiums for either the individual or family plan as set forth below for medical insurance, dental benefits and/or vision/optical benefits. Said co-share percentages shall apply based on the employee's annualized total rate and shall be via payroll deductions.

Said Co-Share contribution salary levels for full and part-time employees shall be as follows:

Effective June 20, 2021

For full-time employees:

Individual Plan		Family Plan	
Less than 108,046	20%	Less than \$56,206	15%
		\$56,206 to less than \$108,046	20%
\$108,046 and above	25%	\$108,046 and above	25%

For part-time employees:

Individual or Family Plan	
Less than \$101,844	20%
\$101,844 and above	35%

Effective: June 19, 2022

For full-time employees:

Individual Plan		Family Plan	
Less than \$110,747	20%	Less than \$57,611	15%
		\$57,611 to less than \$110,747	20%
\$110,747 and above	25%	\$110,747 and above	25%

For part-time employees:

Individual or Family Plan	
Less than \$104,390	20%
\$104,390 and above	35%

Effective June 18, 2023

For full-time employees:

Individual Plan		Family Plan	
Less than \$113,516	20%	Less than \$59,051	15%
		\$59,051 to less than \$113,516	20%
\$113,516 and above	25%	\$113,516 and above	25%

For part-time employees:

Individual or Family Plan	
Less than \$107,000	20%
\$107,000 and above	35%

4. The employee waiver shall be \$1,001 (prorated at \$38.50 per pay period).
5. Employee Drug Co-Pay: Effective January 1, 2019, the following in-network co-pays shall be in effect:

Anchor Plus Plan and Anchor Plan:

The drug copay for a 31-day supply shall be as follows:

<u>Tier 1</u>	<u>Tier 2</u>	<u>Tier 3</u>	<u>Tier 4</u>
\$10.00	35.00	\$60.00	\$100.00

The drug copay by mail order shall be as follows:

<u>Tier 1</u>	<u>Tier 2</u>	<u>Tier 3</u>
\$20.00	\$70.00	\$120.00

Mail order network pharmacies: 3 month supply of a prescription drug for two (2) copayments. Maximum fill is a 3-month supply.

Anchor Choice with HSA Plan:

On the Anchor Choice with HSA Plan, members shall pay the full retail rate for most prescriptions prior to meeting the deductible. However, if the medication is listed on the pharmacy benefit manager's preventive therapy drug list, the applicable copay amount shall apply instead of the full retail rate. For all covered drugs, after the deductible is met, the applicable copay amount shall apply until the applicable OOPM is met.

The drug copay after deductible for a 31-day supply shall be as follows:

<u>Tier 1</u>	<u>Tier 2</u>	<u>Tier 3</u>	<u>Tier 4</u>
\$10.00	\$35.00	\$60.00	\$100.00

The drug copay after deductible by mail order shall be as follows:

<u>Tier 1</u>	<u>Tier 2</u>	<u>Tier 3</u>
\$20.00	\$70.00	\$120.00

Mail order network pharmacies: 3 month supply of a prescription drug for two (2) copayments. Maximum fill is a 3-month supply.

6. The State will provide a vision/optical care program for the employee.
7. Dental and Vision Programs: The State will provide a dental plan for the employees and their family. The coverage shall be \$1,200 through December 31, 2018.

Effective January 1, 2019, the State will provide a dental plan for employees and their family. The coverage will be \$1,500 per calendar year, in addition to the enhancements below.

The State will offer benefit enhancements, including two buy-up options. Said modified plan enhancements shall include:

- Add sealants as a preventive benefit for children under age 14, covered at 100%
- Remove the \$400 inside maximum for periodontal services
- Increase the lifetime maximum for orthodontic services from \$850 to \$1,500
- Extend coverage to dependent children to age 26

For the buy-up plans, the additional cost above the modified plan shall be paid for by the employees choosing the buy-up at 100% paid through increased premium co-

shares.

Vision: The State will provide a vision plan for employees and their family. Effective January 1, 2019, the State will offer benefit enhancements, including buy-up option(s).

Said modified plan enhancements shall include:

- Increase retail frame allowance from \$65 to \$100
- Increase elective contact lens allowance from \$18 to \$30
- Add a contact lens exam copay of up to \$30
- Extend coverage to dependent children to age 26

For the buy-up plans, the additional cost above the modified plan shall be paid for by the employees choosing the buy-up at 100% paid through increased premium co-shares.

8. Flex Plan. The State will offer a medical flexible spending account plan in addition to the dependent care flexible spending account plan. Flexible spending accounts permit employees to payroll deduct a portion of their pay on a pre-tax basis for the payment of qualified medical and dependent care expenses.

9. Wellness Incentives. In addition to the Diabetes Prevention Program, the following wellness incentives shall be available to employees up to a maximum of \$1000 per year.

A. Rewards for Wellness

- a. Employees participating in the State's medical plan and who meet the wellness criteria established by the State, in consultation with the Union, shall receive a reduction in medical insurance co-share

payments up to a maximum of \$500 per year. Activities shall be available for completion between January 1st and December 31st of each calendar years (an “activity year”). The earned reductions in medical insurance co-share payments shall be awarded to active employees participating in the State’s medical plan in the first half of the calendar year following each activity year.

b. The Rewards for Wellness program will integrate preventative and wellness behaviors into the medical plan. Examples of possible activities include completion of the Health Assessment, wellness coaching programs, preventive screenings, non-smoker or completion of smoking cessation program, and/or participation in a program that measures key points in assessing an individual’s overall health.

B. Annual Preventative Exam Incentive

1. Employees participating in the State’s medical plan and who obtain a qualifying annual preventive exam will receive an annual one-time \$250 reduction in medical insurance co-share payments. Qualifying preventive exams are limited to the following: annual physical exam, annual gynecological exam, prenatal obstetrical exam. The earned reductions in medical insurance co-share payments for qualifying preventive exams obtained during a calendar year (an “exam year”) shall be awarded to active employees participating in the State’s medical plan in the second half of the calendar year following the exam year.

2. Employee spouses that are covered in the State’s medical plan shall

be eligible to participate in the Annual Preventive Exam Incentive. Employee policy holders participating the State's medical plan whose spouse obtains a qualifying preventive exam during an exam year will receive an annual one-time \$250 reduction in medical insurance co-share payments. Qualifying preventive exams are limited to the following: annual physical exam, annual gynecological exam, prenatal obstetrical exam. The earned reductions in medical insurance co-share payments for qualifying preventive exams obtained during an exam year shall be awarded to active employees whose spouses participate in the State's medical plan in the second half of the calendar year following the exam year.

C. Diabetes Prevention Program (DPP) Completion Incentive

Employees that attend a minimum of 20 out of the 25 sessions in the Diabetes Prevention Program (DPP), as certified by the program administrator (YMCA of Greater Providence), shall receive a one-time taxable \$500 cash incentive reward.

10. The State shall, after meeting and conferring with the Union, have the right to offer any other health care plans to State employees during the terms of this agreement provided participation is voluntary and such plans do not result in a higher employee co-share percentage. If the State offers such voluntary plans, those that elect to participate will be included in the pool of insured for the purpose of determining the working rate for the primary plan outlined in the Collective Bargaining Agreement.

11. The State and the Union will meet not less than annually during the term of the collective bargaining agreement to review utilization, coverage, supplier,

and other relevant issues related to healthcare coverage.

12. The State will not cancel the health insurance of a covered dependent for two (2) pay periods beyond the pay period in which the death occurs if a covered bargaining unit member dies while carrying State employee health insurance, provided the dependent pays the premium co-share applicable to the continuing coverage.
13. The State will allow a bargaining unit member to opt out of employer provided health insurance consistent with the opt-out provisions of the State's Section 125 Cafeteria Plan and applicable IRS regulations.

APPENDIX B POSITIONS

<u>CLASS OF POSITION:</u>	<u>WORK WEEK</u>	<u>GRADE</u>
Administrative Officer *	N/S	26
Assistant Building and Grounds Officer	35	24
Assistant Chief Distribution Officer	N/S	28
Assistant Supervisor Computer Operations	35	27
Building Construction Inspector	35	20
Building and Grounds Officer	35	28
Building Superintendent	40	18
Chief Distribution Officer	N/S	31
Chief Implementation Aide	N/S	28
Chief of Standards and Inspection	35	31
Data Entry Unit Supervisor	35	21
Electronic Protection Officer	35	27
Equal Opportunity Officer	35	23
Executive Director Contractors Registration Board	N/S	37
Fiscal Management Officer	35	26
Investigative Auditor	N/S	33
Manager Workers' Compensation Program Admin	N/S	34
Principal Accounting Policy & Methods Analyst	35	28
Principal Environmental Planner	N/S	29
Principal Planner	35	29
Principal Program Analyst	35	28
Principal Property Management Officer	N/S	32
Principal Research Technician	N/S	27
Principal Tax Auditor	35	33
Principal Revenue Officer	35	27
Principal Systems Analyst	N/S	29
Programmer/Analyst III (ORACLE)	35	35
Programmer/Analyst III (UNIX/SQL)	35	35
Programmer/Analyst III (COBAL/CICS)	35	35

Property Management Officer	35	26
Senior Building Construction Inspector	35	22
Senior Buyer (DOA/OP)	N/S	29
Senior Multi-State Tax Auditor	35	29
Senior Research Technician	35	23
Senior Revenue Officer	35	24
State Aid & Finance Specialist (Municipal Affairs)	NS/NE	32
Superintendent State Capitol Building	N/S	20
Supervising Accountant	N/S	31
Supervising Employee Relations Officer	N/S	28
Supervising Inspector (Division of Purchases)	N/S	24
Supervising Planner	N/S	31
Supervising Preaudit Clerk	35	21
Supervising Revenue Officer	35	33
Supervisor Central Mail Services	35	16
Supervisor of Billings and Accounts Receivable	N/S	27
Supervisor Local Government Assistance	N/S	33
Tax Return Processing Supervisor (Taxation)	35	28
Taxpayer Assistance Representative Supervisor (Taxation)	35	28

* This title may include specific job positions that involve confidential matters and as such are non-union positions.

MEMORANDUM OF UNDERSTANDING PARKING

For clarification purposes only, the State has a unilateral right to create incentive programs to encourage employees to not drive to work and park.

**MEMORANDUM OF AGREEMENT
IMPLEMENTATION OF STUDY CONTEMPLATED BY SEGAL REPORT**

This Memorandum of Agreement is entered into by and between the State of Rhode Island (the "State") and Association of Department of Administration Supervisors (the "Union") (collectively the "Parties").

WHEREAS, the parties recognize that the State personnel system is out-of-date and in need of reform; and

WHEREAS, in June of 2012, the State of Rhode Island's Department of Administration engaged The Segal Group to perform a study and issue a report towards implementing personnel reforms; and

WHEREAS, The Segal Group, in January of 2013, after consultation with an Advisory Committee, and after months of stakeholder interviews and independent research, issued the State of Rhode Island Comprehensive Personnel Study - Final Report of Detailed Findings, available at:

www.governor.ri.gov/personnel/012613study.pdf; and

WHEREAS, a subsequent report is being prepared to address classification architecture and the compensation structure (collectively with the Segal report, the "Report").

WHEREAS, the Parties entered into a collective bargaining agreement effective _____ through _____ (the "CBA").

NOW THEREFORE, in the best interests of the parties, it is hereby agreed by and between the Parties that:

1. Mid-Term Discussions/Bargaining

Provided the report is completed by June 30, 2015, the Parties agree that they will meet and confer over the recommendations in the Report. Statutory impasse procedures of RIGL 36-11 shall not apply to the Parties' agreement to meet and confer. By entering into this MOA, neither party waives any rights it has. In no event will the implementation of recommended changes result in the decrease in an employee's base wages.

2. Mutual Cooperation

The Parties agree to approach such discussions with a sincere resolve to reach agreement concerning the Report's recommendations.

FOR THE STATE OF RHODE ISLAND

FOR THE UNION

MEMORANDUM OF AGREEMENT LAYOFFS / SHUTDOWNS or PAY REDUCTIONS

Employees may request discharge of Pay Reduction Leave "PR," coded as "RO leave," or "RO1 Leave" earned in accordance with the Memorandum of Agreement dated September 22, 2009 (the "PR Agreement"), and these requests shall not be unreasonably denied. Employees may carry no more than four (4) PR days accrued from FY10 and no more than four (4) PR days accrued from FY11 solely for cash payment only upon termination from State service due to retirement, voluntary termination or death. Said cash payment for those days accrued from FY10 shall be paid at the employee's total pre-reduction hourly rate in effect for the pay period of 9/27/09-10/10/09 (paycheck of 10/16/09), regardless of when the cash payment is made. Said cash payment for those days accrued from FY11 shall be paid at the employee's total pre-reduction hourly rate in effect for the pay period 1/2/11-1/15/11 (paycheck of 1/21/11), regardless of when the cash payment is made. All other pay reduction leave accruals provided for in the PR Agreement shall have no cash value whatsoever. This agreement does not change an employee's earned entitlement to other frozen or deferred days (i.e. Sundlun days).

MEMORANDUM OF UNDERSTANDING

PERFORMANCE DEVELOPMENT

The State's Performance Development Program (hereinafter, "PDP") is designed to encourage employees and their supervisors to meet, discuss, and set goals that are consistent with the operations and missions of the employer and to encourage increased communication between employees and their supervisors.

The State and the Union agree to establish a committee to discuss the implementation of the PDP. The Committee will consist of up to three members appointed by the State and three members appointed by the Union. The Committee will begin to meet upon ratification of this Master Agreement and will meet at least once a month until implementation of the Program. The Committee will discuss issues of concern to the State and the Union and the process and procedures for implementation of the PDP. The State shall determine the start date for the PDP with the timeframe of this Master Agreement. Upon the implementation of the PDP, the Committee will meet quarterly to review the process.

All employees are required to participate in the PDP. Employees shall be permitted to respond to the PDP. The results of the PDP shall not be used by the State to initiate or support a disciplinary action, nor by an employee in defense of a disciplinary action. Dispute of overall annual PDP evaluations, that are less than satisfactory (Improvement Needed or Not Meeting Objectives) shall be subject to the grievance and arbitration procedure.